ABC 2016 Fall Summit

Grants Development and Sponsored Programs

An overview of sponsored projects at Cal Poly

September 8, 2016
Overview

- GDO (pre-award) and SPO (post-award) responsibilities
- Group sharing
- General Topics
### 2016 Sponsored Project Activity

**Activity for fiscal year 2015-16**

<table>
<thead>
<tr>
<th>Amount</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>$25.6</td>
<td>Contract &amp; Grant Expenses</td>
</tr>
<tr>
<td>$4.3m</td>
<td>Centers/Institutes</td>
</tr>
<tr>
<td></td>
<td>FFS</td>
</tr>
<tr>
<td></td>
<td>OSPA</td>
</tr>
<tr>
<td>400</td>
<td>Proposals submitted</td>
</tr>
<tr>
<td>$93m</td>
<td>Funding requested</td>
</tr>
</tbody>
</table>
Proposal Life Cycle

Pre-Award

PI has an idea

Research / Project Development

Proposal Preparation
• Budget
• Internal Forms
• Agency Forms

Proposal Review and Submission

Award Negotiation and Acceptance (GDO/SPO)

Agency Review

Post-Award

Financial & Compliance Management
• Expense
• Transactions
• Subaward, A.S., H.S., COI, etc.

Close-out Audit Record Retention

Reporting
• Financial
• Technical
• Invention (IP)

PI has an idea
Group Sharing

- How do you interact with the pre-award and/or post-award offices in your position?

- What do you hope to learn today? What questions do you need answered?
General Topics

- Gift vs. Sponsored Project
- Direct vs. Indirect Costs
- Allowable vs. Unallowable costs
Gift vs. Sponsored Project

- What is the difference between a gift and a sponsored project?

- Why do they need to be treated differently?

- Examples
Direct vs. Indirect costs

- Costs that *directly* support a project
  - Examples
Common Direct Costs

- **Direct Costs**
  - Salaries and Wages
  - Fringe Benefits
  - Equipment
  - Travel
  - Participant Support
  - Supplies and Expenses
  - Consultant Costs
  - Sub - awards
  - Other Direct Costs
Direct vs. Indirect costs

- Costs that *directly* support a project
  - Examples

- Costs that *indirectly* support a project
  - Examples
Indirect Costs CFR 200.414

Facilities and Administration (F&A)

Facilities
- Operations and Maintenance
- Library
- Debt
- Depreciation

Administration
- General Administration
- General Expenses
Allowable Costs & Activities

- Allowable
  - Standards: Allowable, Allocable, Reasonable
  - Compliant and Consistent
  - “Integral” Uniform Guidance
Unallowable Costs & Activities

IT DEPENDS
Law, Regulations, Terms & Conditions

- Award
- Program
- Sponsor
- Uniform Guidance (Title 2 CFR 200-599)

IRS
Employment Law
CPSU
California State University
CPC
Questions?
Thank you!

Amy Velasco
avelasco@calpoly.edu
x6-2982

Melissa Mullen
mrmullen@calpoly.edu
x6-1123